



PATENT

Attorney Docket No.: 08321-0126 US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent application of  
CARLO CROCE, *et al.*  
Serial No.: 10/706,798  
Filed: 11/12/2003  
For: COMPOSITIONS AND METHODS FOR  
CANCER DIAGNOSIS AND THERAPY

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: Group Art Unit:  
: 1614  
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: Examiner:  
: Not Yet Assigned  
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**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendments  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, submitted herewith are copies of the references listed in the accompanying PTO-1449 Forms.

<p style="text-align: center;"><b>CERTIFICATE OF MAILING</b> <b>UNDER 37 C.F.R. 1.8(a)</b></p> <p>I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date indicated below, with sufficient postage, as first class mail, in an envelope addressed to: Mail Stop Amendments, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p> <p>BY <u>Susan Pasuk</u> <i>Susan Pasuk</i></p> <p>DATE: May 12, 2004</p>
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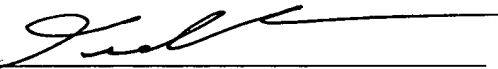
The Examiner is respectfully requested to review the items listed on the attached forms and make them of record in the instant application as required by M.P.E.P. §609. It is requested that the Examiner initial the enclosed duplicate Form 1449, and return one copy to the undersigned.

This Statement should not be construed as a representation that the cited references are material or that more relevant prior art does not exist.

This Statement is being submitted before receipt of any office action on the merits. Thus, no fee is due for the filing of this paper. However, if a fee is due, please charge deposit account 50-0573.

Respectfully submitted,

CARLO CROCE, et al.

BY 

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10/706,798

## INFORMATION DISCLOSURE STATEMENT

APPLICANT:  
CARLO CROCE et al.FILING DATE  
November 12, 2003GROUP  
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	AA	6,140,049	10/31/2000	Bachner et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AB	Zeng et al., BOTH NATURAL AND DESIGN Micro RNAs CAN INHIBIT THE EXPRESSION OF COGNATE mRNAs WHEN EXPRESSED IN HUMAN CELLS, <i>Molecular Cell</i> , Vol. 9, pgs. 1327-1333, June (2002)
AC	Liu et al., CLONING OF TWO CANDIDATE TUMOR SUPPRESSOR GENES WITHIN A 10 kb REGION ON CHROMOSOME 13q14, FREQUENTLY DELETED IN CHRONIC LYMPHOCYTIC LEUKEMIA, <i>Oncogene</i> , Vol. 15, pgs. 2463-2473, (1997)
AD	Bullrich et al., CHARACTERIZATION OF THE 13q14 TUMOR SUPPRESSOR LOCUS IN CLL: IDENTIFICATION OF <i>ALTI</i> , AN ALTERNATIVE SPLICE VARIANT OF THE <i>LEU2</i> GENE, <i>Cancer Res.</i> Vol. 61, pgs. 6640-6648, (September 15, 2001)
AE	Migliazza et al., NUCLEOTIDE SEQUENCE, TRANSCRIPTION MAP, AND MUTATION ANALYSIS OF THE 13q14 CHROMOSOMAL REGION DELETED IN B-CELL CHRONIC LYMPHOCYTIC LEUKEMIA, <i>Blood</i> , Vol. 97, pgs. 2098-2104 (April 1, 2001)
AF	Wolf et al., B-CELL NEOPLASIA ASSOCIATE GENE WITH MULTIPLE SPLICING ( <i>BCMS</i> ): THE CANDIDATE B-CLL GENE ON 13q14 COMPRISES MORE THAN 560 kb COVERING ALL CRITICAL REGIONS, <i>Hum. Mol. Genet.</i> Vol. 10, pgs. 1275-1285 (2001)
AG	Mertens et al., DOWN-REGULATION OF CANDIDATE TUMOR SUPPRESSOR GENES WITHIN CHROMOSOME BAND 13q14.3 IS INDEPENDENT OF THE DNA METHYLATION PATTERN IN B-CELL CHRONIC LYMPHOCYTIC LEUKEMIA, <i>Blood</i> , Vol. 99, (June 1, 2002)
AH	Bichi et al., HUMAN CHRONIC LYMPHOCYTIC LEUKEMIA MODELED IN MOUSE BY TARGETED <i>TCL1</i> EXPRESSION, <i>Proc. Natl. Acad. Sci. USA.</i> , Vol. 99, pgs 6955-6960 (May 14, 2002)
AI	Lagos-Quintana et al., IDENTIFICATION OF NOVEL GENES CODING FOR SMALL EXPRESSED RNAs, <i>Science</i> , Vol. 294, pgs. 853-858 (October 26, 2001)
AJ	Lagos-Quintana et al., IDENTIFICATION OF TISSUE-SPECIFIC MicroRNAs FROM MOUSE, <i>Current Biology</i> , Vol. 12, pgs. 735-739 (April 30, 2002)
AK	Lau, et al., AN ABUNDANT CLASS OF TINY RNAs WITH PROBABLY REGULATORY ROLES IN <i>CAENORHABDITIS ELEGANS</i> , <i>Science</i> , Vol. 294, pgs. 858-862 (October 26, 2001)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.